



# Physicochemical characterization and cytotoxicity of artocaine-2-hydroxypropyl- $\beta$ -cyclodextrin inclusion complex

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## Abstract

Artocaine (ATC) is one of the most widely used local anesthetics in dentistry. Despite its safety, local toxicity has been reported. This study aimed to develop an ATC-2-hydroxypropyl- $\beta$ -cyclodextrin inclusion complex (ATC HP $\beta$ CD) and to assess its toxicity in vitro. The inclusion complex was performed by solubilization, followed by a fluorimetric and job plot assay to determine the complex stoichiometry. Scanning electron microscopy, DOSY-<sup>1</sup>H-NMR, differential scanning calorimetry (DSC), and sustained release kinetics were used to confirm the inclusion complex formation. In vitro cytotoxicity was analyzed by MTT assay and immunofluorescence in HGF cells. Fluorimetric and job plot assay determined the inclusion complex stoichiometry (ATC:HP $\beta$ CD = 1:1) and complex formation time (400 min), as indicated by a strong host/guest interaction ( $K_a = 117.8 \text{ M}^{-1}$ ), complexed fraction ( $f = 41.4\%$ ), and different ATC and ATC HP $\beta$ CD melting points (172 °C e 235 °C, respectively). The mean of cell viability was 31.87% and 63.17% for 20-mM ATC and 20-mM ATC HP $\beta$ CD, respectively. Moreover, remarkable cell toxicity was observed with free ATC by immunofluorescence. These results indicate the ATC HP $\beta$ CD complex could be used to improve the safety of ATC. Further research are needed to establish the anesthetic safety and effectiveness in vivo.

**Keywords** Hydroxypropyl- $\beta$ -cyclodextrin · Artocaine · Cell viability · Human gingival fibroblast

## Introduction

ATC has been pointed out as one of the most potent and less toxic local anesthetic in dentistry (Pellicer-Chover et al. 2013; Kambalimath et al. 2013; Tortamano et al. 2013). However,

despite its high anesthetic success rate, there are reports of increased risk of paresthesia, changes in sensitivity, and transient postoperative pain have been related (Malamed et al. 2001; Garisto et al. 2010; Moore and Haas 2010; Pogrel 2012; Kämmerer et al. 2013). In fact, an analysis of reports

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to the FDA Adverse Event Reporting System showed the articaine as one of the two local anesthetics that generates a signal of paresthesia, especially in dentistry (Piccinni et al. 2015). This adverse effect has been attributed to the local toxicity caused by the high concentration of ATC on the anesthetic solution (Haas and Lennon 1995; Haas 2006).

In that way, studies in recent years using cyclodextrins (CDs) as cell carriers and solubilizers of local anesthetics have been carried out to improve the pharmacological features of these drugs (Prado et al. 2017) and to reduce this side effect (Araújo et al. 2005; de Paula et al. 2010a). CDs are cyclic oligosaccharides of glucopyranose units (6, 7, 8 for  $\alpha$ ,  $\beta$ , and  $\gamma$ , respectively) linked by  $\alpha$  (1, 4) linkages. Although the entire CD molecule is water-soluble, these units form a truncated cone with a hydrophobic (nonpolar) internal cavity allowing the binding of the hydrophobic drug radicals compatible with the cavity size (6.0–6.5 Å) and keeping a hydrophilic outer surface (Loftsson and Duchêne 2007). The 2-hydroxypropyl- $\beta$ -cyclodextrin (HP $\beta$ CD), a synthetic  $\beta$ CD, has received special attention because of its safety, even in parenteral use, since it does not permeate the cell membrane, making it suitable for several administration routes. It has a much higher aqueous solubility (> 600 mg/mL) that differs from the original  $\beta$ CD (18.5 mg/mL). HP $\beta$ CD has been approved in several markets and do not cause immune response in mammals. Moreover, because of its cavity size, it is appropriate for drug molecules with aromatic rings (Davis and Brewster 2004; Loftsson and Duchêne 2007).

Thus, the objective of this study was to perform the characterization of the ATC as a guest molecule into the host cavity of HP $\beta$ CD and evaluate the cytotoxicity in vitro of this novel inclusion complex.

## Methods

### Preparation of the solid ATC<sub>HP $\beta$ CD</sub>

The inclusion complex was prepared as described before (Moraes et al. 2007a; Araújo et al. 2008). Briefly, equimolar amounts of ATC HCl (MW = 320.84 g/mol) (DFL Industria e Comércio S.A., Rio de Janeiro, Brazil) and HP $\beta$ CD (MW = 1400 g/mol) (Kleptose HP®, Roquette Serv. Tech. Lab. Lestrem, Cedex, France), in a 1:1 M ratio, were dissolved in ultrapure water (Milli-Q® Direct 8, Merck-Millipore, Germany) at room temperature (25  $\pm$  1 °C) for 24 h by continuous stirring to achieve complete solubilization. The solution was freeze-dried (Lyo Chamber Guard Christ LCG, Alfa 2–4 LD Plus, Germany) for 72 h and stored at –20 °C until further use. Physical mixtures were obtained by mixing ATC and HP $\beta$ CD powders, at the same molar ratio.

### Fluorimetric absorption and stoichiometry determination

The interaction between ATC and HP $\beta$ CD was followed at 272 nm (maximum ATC excitation UV wavelength) using a fluorimeter (Fluorimeter Hitachi F-4500, Japan). The complexation stoichiometry was determined from equimolar solutions of the samples, with ATC spectra recorded in the presence/absence of increasing HP $\beta$ CD concentrations (ATC:HP $\beta$ CD molar ratios of 1:0, 1:1, 1:10, 1:20, 1:30, 1:40, 1:50, 1:75, and 1:100) (Sosnowska 1997). Using the job plot analysis (Shafi and Shihry 2009; Braga et al. 2016), ATC spectra were recorded for different ATC:HP $\beta$ CD molar ratios, remaining the total concentration (M) constant ( $M = [ATC]_{total} + [HP\beta CD]_{total} = 2 \mu M$ ). As the experiment in the fluorimeter requires UV absorbance solutions between 0.1 and 0.2, low concentrations of the samples were used. All experiments were performed in 5-mM HEPES buffer, at pH 7.4 and 25  $\pm$  2 °C.

The physicochemical parameter analyzed was the maximum fluorescence emission ( $\lambda$ ) in the absence ( $\lambda_0$ ) and presence ( $\lambda$ ) of HP $\beta$ CD. Data analysis was performed by constructing a plot of  $\Delta\lambda$  vs.  $r$ , according to the following formulas:

$$\Delta\lambda = \lambda - \lambda_0 \quad (1)$$

$$r = \frac{[ATC]}{\{[ATC]_{total} + [HP\beta CD]_{total}\}} \quad (2)$$

For a given value of  $r$ , the concentration of the complex ATC:HP $\beta$ CD will reach a maximum corresponding to the point which the derivative  $d[ATC:HP\beta CD]/dr = 0$ . The maximum value for this parameter occurs at  $r = 0.5$  which indicates a 1:1 stoichiometry. Changes in the fluorimetric shift will be proportional to the complex concentration and it is possible to plot these changes against  $r$  (Loukas et al. 1998).

### NMR analyses

ATC, HP $\beta$ CD, and ATC<sub>HP $\beta$ CD</sub> samples were diluted in D<sub>2</sub>O, and 600  $\mu$ L aliquots were transferred to 5-mm tubes for spectrum acquisition. One and two dimensional <sup>1</sup>H-NMR spectra were determined at 25 °C on Varian INOVA 500 spectrometer (11.75 T frequency) and 499.73 MHz (digital resolution of measurements of 0.39 Hz/point), at the Brazilian Synchrotron Light Laboratory (LNBio, Campinas, Brazil). The residual water peak (4.8 ppm) was used as an internal reference. In all experiments, the suppression of residual water was made by the pre-saturation technique. Data were processed using the nmrPIPE/nmrVIEW program (ACD/Labs, Toronto, Canada).

The diffusion experiments (DOSY) were conducted to ATC (5 mM), HP $\beta$ CD (5 mM), and 1:1 (ATC<sub>HP $\beta$ CD</sub>) at

25 °C. The sequence used was the Dbppste. The total duration of the gradient pulse was 2 ms, the standby time of diffusion was 0.05 s, and the minimum gradient force was 0.3 G/cm (Loukas et al. 1998; Laverde et al. 2002; Braga et al. 2016). For all experiments, 30 spectra (64 transients each) were collected with gradient pulses with amplitudes ranging from  $0.68 \times 10^{-3}$  to  $3.4 \times 10^{-3}$  T/cm, in which were observed an approximately 100% decay in intensity of the resonance. This phenomenon was found in the biggest gradient amplitude.

The fraction of complexed drug ( $f$ ) was determined as described by the following equation (Braga et al. 2016):

$$f = \frac{D_{\text{ATC}} - D_{\text{Complex}}}{D_{\text{ATC}} - D_{\text{HP}\beta\text{CD}}} \quad (3)$$

$D_{\text{ATC}}$  = diffusion coefficient of free ATC;  $D_{\text{Complex}}$  = ATC<sub>HPβCD</sub> inclusion complex diffusion coefficient; and  $D_{\text{HPβCD}}$  = diffusion coefficient of free HPβCD.

The affinity constant was calculated from the last equation deduced from the equilibrium constant for the 1:1 stoichiometry ATC: HPβCD as follows (Arantes et al. 2009):

$$K_a = \frac{f}{(1-f) \left( [\text{HP}\beta\text{CD}] - f[\text{ATC}] \right)} \quad (4)$$

The  $f$  is the ATC complexed fraction,  $[\text{ATC}]$  = initial ATC concentration (M);  $[\text{HP}\beta\text{CD}]$  = initial HPβCD concentration (M).

### Differential scanning calorimetry (DSC)

DSC curves were obtained with a DSC-Q100 (TA Instruments Waters, USA), using 50 mL/min nitrogen rate flow and 15 °C/min heating rate over a range of 0–300 °C. Five-milligram samples (ATC, HPβCD and 1:1 ATC<sub>HPβCD</sub>) were placed in aluminum pans. The temperature was calibrated with indium element, and an empty pan was used as reference.

### Sustained release kinetics and accelerated stability assessment

In vitro release experiments were carried out using a two-compartment system, with a cellulose membrane (Spectrapore, MWCO 1000 Da) to separate the donor (1-mL ATC or ATC<sub>HPβCD</sub> sample) and the acceptor (100 mL of ultrapure water) compartments, under continuous stirring. Aliquots were withdrawn from the acceptor compartment at regular intervals, and ATC concentration was determined by HPLC according to a previously validated method (Franz-Montan et al. 2015). Furthermore, three samples (10 mg each) of ATC<sub>HPβCD</sub> were submitted for 6 months to challenge conditions (40 °C ± 2 °C and HR = 75% ± 5%) in a climatic chamber (Tecnal, TE-4003 – Brazil) to achieve the compound

stability. The release data were evaluated using the zero order, first order, Higuchi, and Korsmeyer-Peppas models (Ramteke et al. 2014).

### Scanning electron microscopy (SEM)

Lyophilized samples of ATC<sub>HPβCD</sub>, ATC, HPβCD, and their physical mixture were fixed on aluminum stubs with double-sided carbon tape. The samples were metallized with gold under vacuum for 120 s. Images were analyzed using a scanning microscope (JSM 5800LV, JEOL, Japan) in order to observe possible structural changes on the ATC and HPβCD crystals after complexation.

### Cytotoxicity by MTT reduction and immunofluorescent assay

#### Cell culture process

Spontaneously immortalized human gingival fibroblast (HGF) cells were maintained in a monolayer culture in 95% air and 5% CO<sub>2</sub> at 37 °C in DMEM supplemented with 10% fetal bovine serum, 1% penicillin/streptomycin, and 3-μg/mL amphotericin B (Fungizone® GIBCO, USA).

#### Cell proliferation and function assay (Vybrant® MTT proliferation assay)

The MTT Cell Proliferation Assay kit (Molecular Probes Life Technologies, USA) was used and adapted to a previously described method (Mosmann 1983). Briefly,  $2 \times 10^4$  cells/well were seeded in a 96-well plate with 200 μL of DMEM culture medium (Vitrocell Embriolife, Brazil) supplemented with 10% FBS. After 48 h, HGF cells were exposed to different ATC and ATC<sub>HPβCD</sub> concentrations for 45 min. Then, the supernatant was removed and 200-μL DMEM medium containing MTT 0.3 mg/mL was added in each well. Cells were incubated for 3 h in 95% air and 5% CO<sub>2</sub> at 37 °C, protected from light. The supernatant was removed and cells were gently washed twice with PBS pH 7.4, and 200 μL of absolute ethanol were added to each well. Finally, absorbance was measured at 570 nm in a microspectrophotometer (ASYS UVM340; Biochrome, England).

#### Immunofluorescence cell morphology assay

The double immunofluorescence staining assay was performed according to the manufacturer. Briefly,  $5 \times 10^2$  HGF cells were grown on previously poly-L-lysine-treated coverslips, fixed with 4% formaldehyde and permeabilized with 0.2% Triton X-100. F-actin filaments were labeled with Alexa Fluor® 488 phalloidin (ThermoFisher Scientific, Oregon, USA), and nuclei were stained with Hoechst 33342

(ThermoFisher Scientific). Then, coverslips were mounted on slides using Entellan® new reagent (Sigma-Aldrich, USA). The multiple-exposure image was acquired on a Leica DMR microscope equipped with an epifluorescence camera DFC345FX (Leica, Germany) at  $\times 20$  with a fluorescence excitation (Ex) and emission (Em) maxima of 495 nm/518 nm. DMEM was used as cell positive control with no effect on cellular morphology and Triton X-100 as a negative control since it causes lysis on cell membrane.

## Statistical analyses

Data were tested for normal distribution by Shapiro-Wilks test and Levene was used to test the equivalency of variances. Analyses of variance (ANOVA) followed by Tukey test (post hoc analysis) were applied for cell viability assay (MTT). Significance level was set at 1% ( $\alpha = 0.01$ ). All analyzes were performed using the Biostat® 5.0 (Mamirauá Institute, PA, Brazil) and GraphPad Prism 6.0 (GraphPad Software, Inc., La Jolla, CA, USA) software.

## Results

### ATC fluorimetric absorption properties and complexation

The variation of fluorescence intensity was proportional to the concentration of the complex and could be plotted against  $r$ . Even though the molar quantity of HP $\beta$ CD increased from one to 100, all of the curves showed a similar distribution as 1:1 (Fig. 1). As the total molar concentrations of ATC and HP $\beta$ CD were equal (1  $\mu$ M), the  $r$ -value obtained was 0.5, as

seen on Fig. 2a, which indicates a complexation molar ratio ATC:HP $\beta$ CD = 1:1. When plotted the area under the curve (AUC) of the 1:1 complex over 24 h revealed an equilibration time of 400 min at the plateau. The kinetics of complex formation is directly related to the hydrophobicity of the guest molecule to be incorporated, and there was no increase in the absorbance after 400 min (Fig. 2b).

## NMR experiments

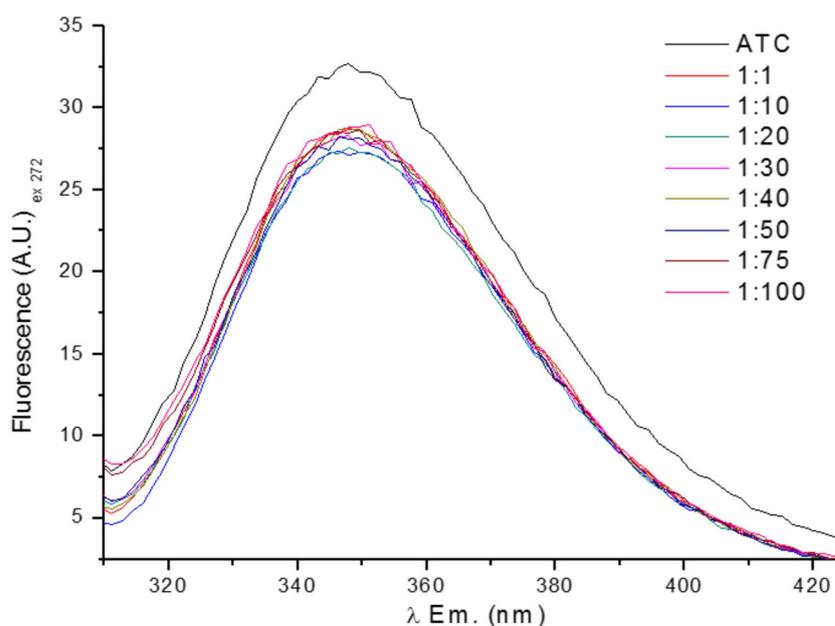
Table 1 summarizes the ATC shift variation. The great variation can be observed for H<sub>7</sub>, H<sub>8</sub>, and H<sub>9</sub>. The confirmation of the complex was done through experiments of DOSY. Figure 3 shows the <sup>1</sup>H-NMR spectra of the ATC and HP $\beta$ CD molecule, observing a right displacement of the curve.

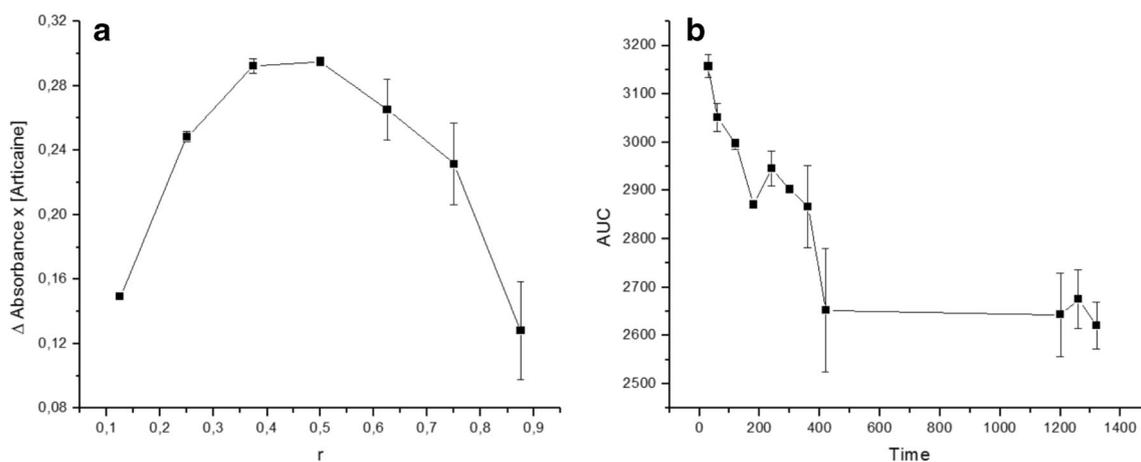
## Diffusion measures

Once the supramolecular complexation characterization was performed (analysis via complexation stoichiometry and probable ATC<sub>HP $\beta$ CD</sub> structure), diffusion experiments were performed in order to measure the ATC complexed fraction via NMR, as well as association constant ( $K_a$ ) between ATC and HP $\beta$ CD. These values, carried out from Eqs. 1–4, are shown on Table 2.

As shown on Table 2, the diffusion of the anesthetic molecule in solution ( $D_{ATC}$ ) is greater than the HP $\beta$ CD ( $D_{HP\beta CD}$ ) due to the difference in molecular weight of both compounds, indicating that the mobility of these molecules in solution are different. From Eq. 3 and 4, the values of the complexed fraction ( $f = 41.41\%$ ) and the affinity constant ( $K_a = 117.8 \text{ M}^{-1}$ ) were obtained, respectively.

**Fig. 1** Fluorescence emission spectra of the ATC<sub>HP $\beta$ CD</sub> at 1:1 to 1:100 (ATC:HP $\beta$ CD) concentration





**Fig. 2** **a** Job plot changes in fluorescence intensity at different ATC:HP $\beta$ CD molar ratios ( $r$ ) for determination of the stoichiometry of complexation as described in methods; 5-mM HEPES buffer, at pH 7.4 and  $25 \pm 2$  °C ( $n = 3$ , mean  $\pm$  SD). **b** Kinetics of complexation of ATC and

HP $\beta$ CD ( $n = 3$ , mean  $\pm$  SD); each point represents the area under the curve generated by fluorimetry in the formation of the equimolar complex (1:1) in different time points during 24 h

### Differential scanning Calorimetry

Thermograms of plain ATC and ATC<sub>HP $\beta$ CD</sub> inclusion complex are shown in Fig. 4. The lack of ATC peak on melting point (172–173 °C) on the curve when complexed with HP $\beta$ CD is a strong evidence of the new inclusion compound formation (Yilmaz et al. 1995; Novak et al. 1998; Meier et al. 2001).

### Sustained release kinetics and accelerated stability

The inclusion of ATC in the HP $\beta$ CD cavity reduced the in vitro release of ATC, as shown in Fig. 5. After 240 min, the maximum release percentage was  $84.5 \pm 3.3\%$  (ATC at 0 months),  $72.5 \pm 1.1\%$  (ATC<sub>HP $\beta$ CD</sub> at 0 months), and  $76.9 \pm 1.7\%$  (ATC<sub>HP $\beta$ CD</sub> at 6 months). At the end releasing point, there was a significant difference between ATC and the complex formulations ( $p < 0.05$ , ANOVA-Tukey). No difference was observed between ATC<sub>HP $\beta$ CD</sub> 0 months and ATC<sub>HP $\beta$ CD</sub> 6 months. The in vitro drug release profile was applied in

different mathematical models used for drug delivery. The Higuchi model exhibited the highest correlation coefficient ( $r^2$ ) for the different formulations (Table 3). This suitable model revealed the release of ATC from the formulations, which implies the release of drug from the complex as a process that depends of the square root of time and that diffusion may be controlled (Fig. 5). The constant rate was 8.4240 and 7.6142 for ATC and ATC<sub>HP $\beta$ CD</sub> 0 months, respectively. These values were significantly different ( $p < 0.05$ , ANOVA-Tukey).

### Scanning electron microscopy (SEM)

The crystals of HP $\beta$ CD have hemispherical forms of tens of microns, smooth surface, and aligned contours. The ATC crystals are grouped with prismatic shapes and straight edges. The ATC<sub>HP $\beta$ CD</sub> has a completely different structure from amorphous laminar appearance of hundreds of microns (Fig. 6).

### Cytotoxicity and immunofluorescent assay

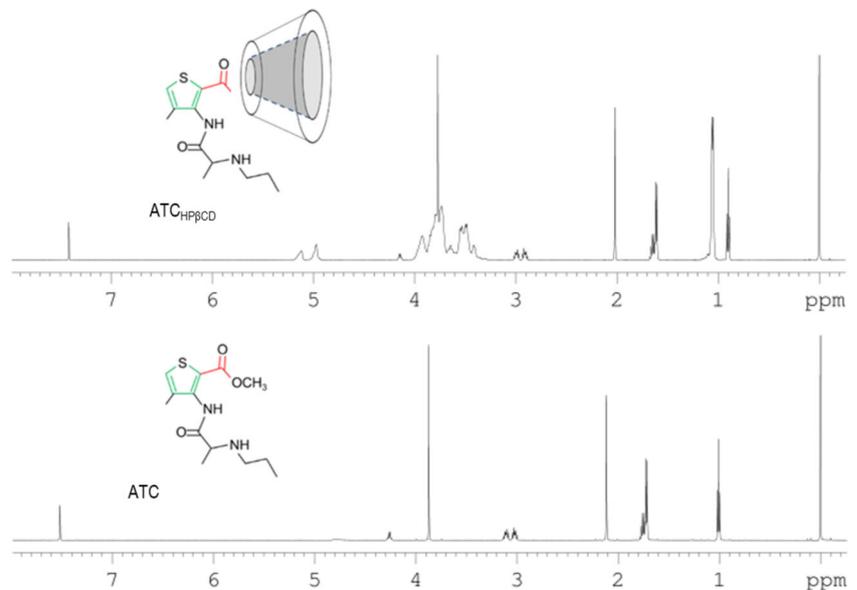
The HGF cells' metabolic activity was affected significantly when treated with concentrations above 5 mM of plain ATC and ATC<sub>HP $\beta$ CD</sub> for 45 min, with a lower cytotoxicity tendency in the complexed group. Although lower concentrations of both ATC and ATC<sub>HP $\beta$ CD</sub> showed similar cytotoxic profile, 20-mM plain ATC was significantly ( $p < 0.01$ ) more cytotoxic in comparison with 20-mM ATC<sub>HP $\beta$ CD</sub>. The mean ( $\pm$  SD) of cell viability for 20-mM ATC and 20-mM ATC<sub>HP $\beta$ CD</sub> was  $31.87\% (\pm 9.69)$  and  $63.17\% (\pm 13.34)$ , respectively (Fig. 7). The HP $\beta$ CD did not affect the cell viability.

A loss of cytoplasm volume and apoptotic body formation were found in HGF cells treated with 5-mM plain ATC, indicating the cytotoxic effect of this local anesthetic. These

**Table 1** Chemical shift of ATC  $^1\text{H}$  and ATC in the inclusion complex ATC<sub>HP $\beta$ CD</sub>

| H  | ATC (ppm) | ATC <sub>HP<math>\beta</math>CD</sub> (ppm) | $\Delta\delta$ (ppm) |
|----|-----------|---|----------------------|
| 2  | 1.008     | 0.902                                       | 0.106                |
| 3  | 1.713     | 1.605                                       | 0.108                |
| 5  | 2.116     | 2.020                                       | 0.096                |
| 6  | 3.033     | 2.919                                       | 0.114                |
| 7  | 3.100     | 2.985                                       | 0.115                |
| 8  | 3.871     | 3.771                                       | 0.100                |
| 9  | 4.255     | 4.139                                       | 0.116                |
| 10 | 7.516     | 7.418                                       | 0.098                |

**Fig. 3**  $^1\text{H-NMR}$  spectrum (400 MHz,  $\text{D}_2\text{O}$ /reference residual  $\text{H}_2\text{O}$  at 4.81 ppm) to  $\text{ATC}_{\text{HP}\beta\text{CD}}$  complex (a) and ATC sample (b)



effects were observed with lower intensity on the groups treated with  $\text{ATC}_{\text{HP}\beta\text{CD}}$  at the same ATC concentration (Fig. 8).

## Discussion

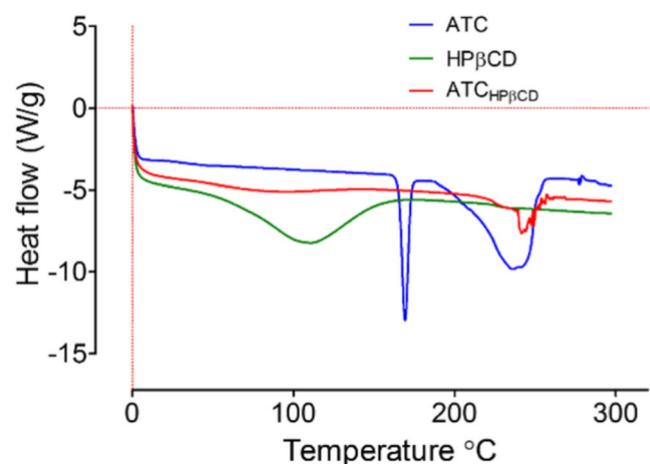
Great advances in new inclusion complexes using  $\text{HP}\beta\text{CD}$  as a delivery drug system of local anesthetics have been reported (Fréville et al. 1996; Kopecký et al. 2004; Moraes et al. 2007a, b; Franco de Lima et al. 2012; Cereda et al. 2012; Vermet et al. 2014; Serpe et al. 2014; Prado et al. 2017). To identify this complexation, changes in the UV absorption spectra have been suggested (Misiuk and Zalewska 2011). The fluorescence reduction of ATC in the presence of  $\text{HP}\beta\text{CD}$  (Fig. 1) was very similar in all the molar ratios assessed, reflecting the alteration of the polarity of the environment or by the collision of the fluorophore with inclusion complex. This altered fluorescence was also observed with tetracaine, oxethazaine, and other guest molecules. According to the job plot analysis (Fig. 2), in which the maximum occurred at  $r=0.5$  (Eq. 2), the complexation stoichiometry was confirmed to be 1:1. Similar results have been obtained with ropivacaine, tetracaine, and oxethazaine (Moraes et al. 2007a; Braga et al. 2016; Prado et al. 2017).

**Table 2** Diffusion coefficient (D) of ATC,  $\text{HP}\beta\text{CD}$ , and  $\text{ATC}_{\text{HP}\beta\text{CD}}$

| Samples                                | D ( $10^{-10}\text{m}^2\text{s}^{-1}$ ) | Complex molar fraction (f)% | $K_a$ mol/l |
|--|---|-----------------------------|-------------|
| ATC                                    | $4.69 \pm 0.040$                        | –                           | –           |
| $\text{HP}\beta\text{CD}$              | $2.42 \pm 0.011$                        | –                           | –           |
| $\text{ATC}_{\text{HP}\beta\text{CD}}$ | $3.75 \pm 0.022$                        | 41.41                       | 117.8       |

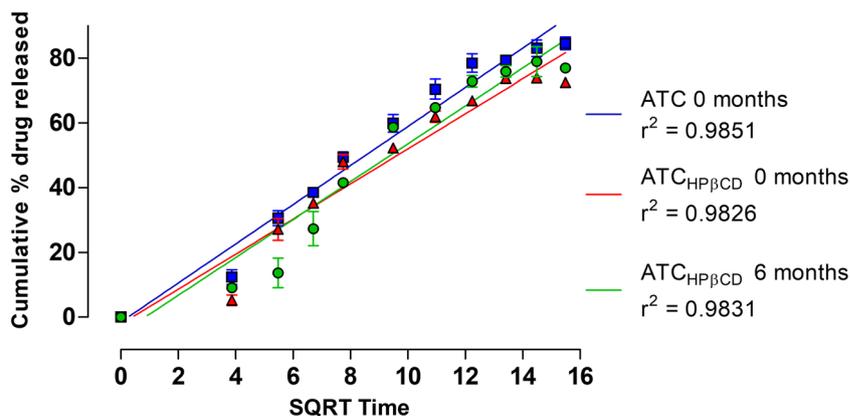
Associated constant ( $K_a$ ) and complexed fraction (f) of ATC on the  $\text{ATC}_{\text{HP}\beta\text{CD}}$  complex

The NMR spectroscopy is one of the most informative techniques for the study of CD complexes with several compounds providing direct evidence of the formation complexes. The presence of the guest molecule, when inserted into the inner cavity of the CDs, produces changes in the chemical environment of the internal hydrogens ( $\text{H}_3$  and  $\text{H}_5$ ), but not in the external hydrogens ( $\text{H}_1$ ,  $\text{H}_2$  and  $\text{H}_4$ ) (Pinto et al. 2005). In addition, the interaction of the host molecule with the CD cavity can cause variation in chemical shift of hydrogens of incorporated molecule in the cavity (Xiliang et al. 2003; Bratu et al. 2005). Thus, NMR data led to the determination of the  $\text{ATC}:\text{HP}\beta\text{CD}$  association constant ( $K_a = 117.8 \text{ M}^{-1}$ ), which indicates a stable affinity between these compounds. This outcome is even higher than other amide local anesthetics when complexed to  $\text{HP}\beta\text{CD}$  such as S-bupivacaine ( $K_a = 91 \text{ M}^{-1}$ ), S-ropivacaine ( $K_a = 55 \text{ M}^{-1}$ ), and prilocaine ( $K_a = 41 \text{ M}^{-1}$ ) determined by this method (de Paula et al. 2010b; Cabeça et al. 2011). Similarly, it has been shown a  $K_a = 198 \text{ M}^{-1}$



**Fig. 4** Thermograms of ATC,  $\text{HP}\beta\text{CD}$ , and  $\text{ATC}_{\text{HP}\beta\text{CD}}$  (1:1 M ratio)

**Fig. 5** Higuchi model kinetics for articaine formulations release (cumulative percent drug released vs. square root of time). SQRT indicates square root. ATC and ATC<sub>HPβCD</sub> measured at 25 °C and pH 7.4 ( $n = 3$ , mean  $\pm$  SD)



between the oxethazaine and HPβCD (Prado et al. 2017). Since  $K_a$  is directly related to the drug lipophilicity, high association between these compounds was expected.

DSC is a common thermal technique used in research of solid-state interactions between drugs and CDs (Giordano et al. 2001; Mura et al. 2003). The comparison of the thermal curves of single compounds, their physical mixture, and the apparent inclusion complex provides insight about the interactions between the components as consequence of the process used for the complex preparation (Mura 2015). As seen with the inclusion complex between ropivacaine-HPβCD and lidocaine-HPβCD, there was the disappearance of the ATC melting peak when complexed with HPβCD in the DSC curve (Araújo et al. 2008). The increased temperature of decomposition observed in the complex is a clear signal of the increased drug thermal stability because of the ATC inclusion inside the HPβCD cavity (Yilmaz et al. 1995; Novak et al. 1998; Meier et al. 2001).

The most widely used in vitro method to evaluate the release of a drug is through a vertical diffusion cell, using a cellulose permeable membrane by which the release of a drug is assessed over time (Shen and Burgess 2012). As recommended before for local anesthetics (Prado et al. 2017), free ATC showed a release above 80%. However, samples of ATC<sub>HPβCD</sub> did not achieve that percentage release after 4 h, and this phenomenon could be explained by the high complexed fraction of ATC on

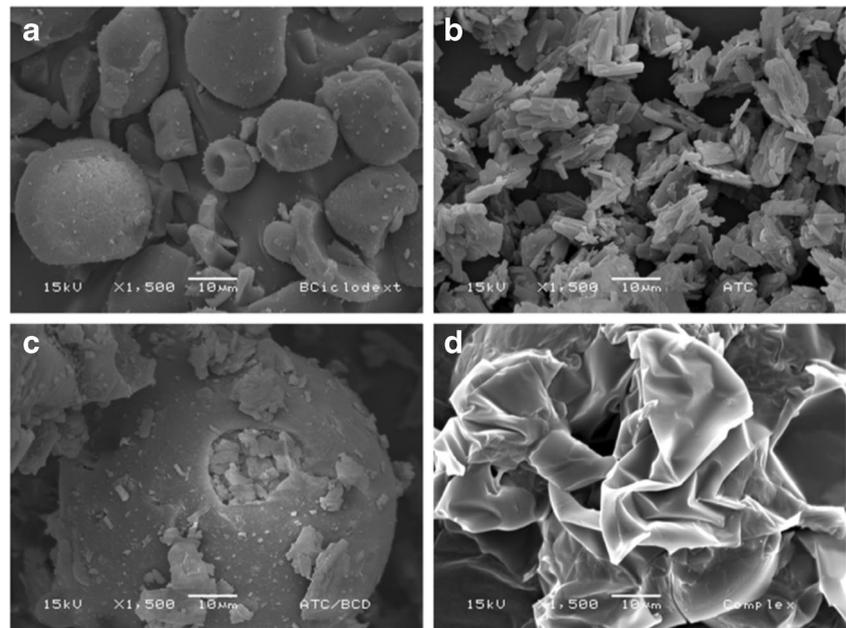
HPβCD (41.41%) and the strong and stable affinity of this inclusion complex ( $K_a = 117.8 \text{ M}^{-1}$ ). Moreover, it is possible to observe that there was a decrease in the values of release constants for the different formulations since the 6 months' formulation showed a higher release constant than the newly prepared complex, but still with a lower value than the non-complex formulation (Table 3). Also, the in vitro drug release profile was applied in different mathematical models and evaluated by correlation coefficient ( $r^2$ ) presented in Table 3. Since the highest degree of correlation coefficient determines the suitable mathematical model that follows drug release kinetics, the Higuchi model fits this point than other models. This finding supports our results since this well-known model has become a prominent kinetic equation in its own for controlled-release formulations and as an important element in drug delivery systems development (Gouda et al. 2017), which implies that release of drug from the complex as a square root of a time-dependent and diffusion-controlled process (Fig. 5). Interestingly, the ATC<sub>HPβCD</sub> inclusion complex submitted to challenge conditions for 6 months showed a similar releasing curve as the original complex (0 months) indicating a good compound stability. All these results suggest the complex as a controlled drug releaser, modifying the drug permeation across cell membrane and increasing the bioavailability of the original molecule.

**Table 3** Correlation coefficients ( $r^2$ ) and constant values for the different mathematical models applied to the release of ATC

| Formulations                     | Mathematical models        |        |                                     |        |                              |        |                            |        |        |
|----------------------------------|----------------------------|--------|-------------------------------------|--------|------------------------------|--------|----------------------------|--------|--------|
|                                  | Zero order                 |        | First order                         |        | Higuchi                      |        | Korsmeyer-Peppas           |        |        |
|                                  | $k$<br>( $\text{h}^{-1}$ ) | $r^2$  | $k$<br>( $10^{-3} \text{ h}^{-1}$ ) | $r^2$  | $k$<br>( $\text{h}^{-1/2}$ ) | $r^2$  | $k$<br>( $\text{h}^{-1}$ ) | $n$    | $r^2$  |
| ATC 0 months                     | 0.6108                     | 0.9404 | 8.225                               | 0.7949 | 8.4240                       | 0.9851 | 1.1430                     | 0.3363 | 0.9582 |
| ATC <sub>HPβCD</sub><br>0 months | 0.4846                     | 0.8659 | 6.891                               | 0.6142 | 7.6142*                      | 0.9826 | 0.8011                     | 0.3187 | 0.8573 |
| ATC <sub>HPβCD</sub><br>6 months | 0.5732                     | 0.9533 | 7.112                               | 0.9333 | 8.0122                       | 0.9831 | 0.9082                     | 0.3295 | 0.9648 |

\*Statistical difference in relation to ATC 0 months,  $p < 0.05$ , ANOVA-Tukey

**Fig. 6** Scanning electron microscopy of **a** natural HP $\beta$ CD, **b** natural ATC, **c** physical mixture of ATC and HP $\beta$ CD molar ratio of 1:1, and **d** ATC<sub>HP $\beta$ CD</sub> inclusion complex molar ratio 1:1. Magnification  $\times 1500$ , bar =  $10\ \mu\text{m}$

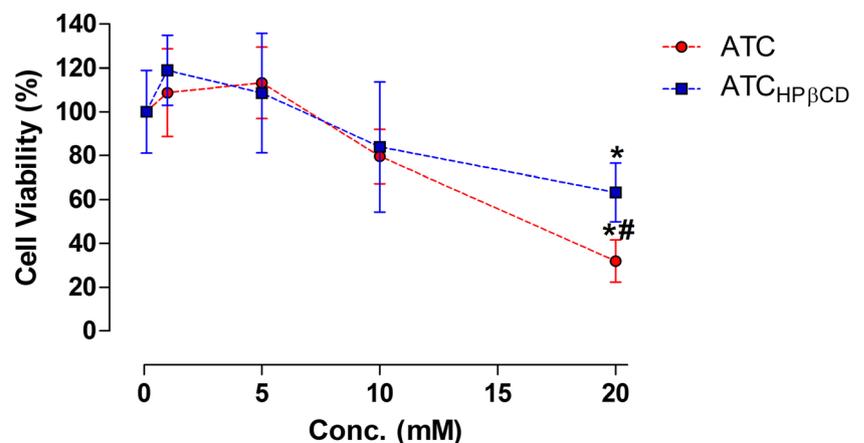


SEM data (Fig. 6) provided evidences of ATC insertion within the cyclodextrin's hydrophobic cavity, since both demonstrate the loss of ATC crystalline pattern in the complex but not in the simple physical mixture of ATC and HP $\beta$ CD. Similar results were reported for the ropivacaine, tetracaine, and oxethazaine in inclusion complex with HP $\beta$ CD (Morales et al. 2007a; Braga et al. 2016; Prado et al. 2017).

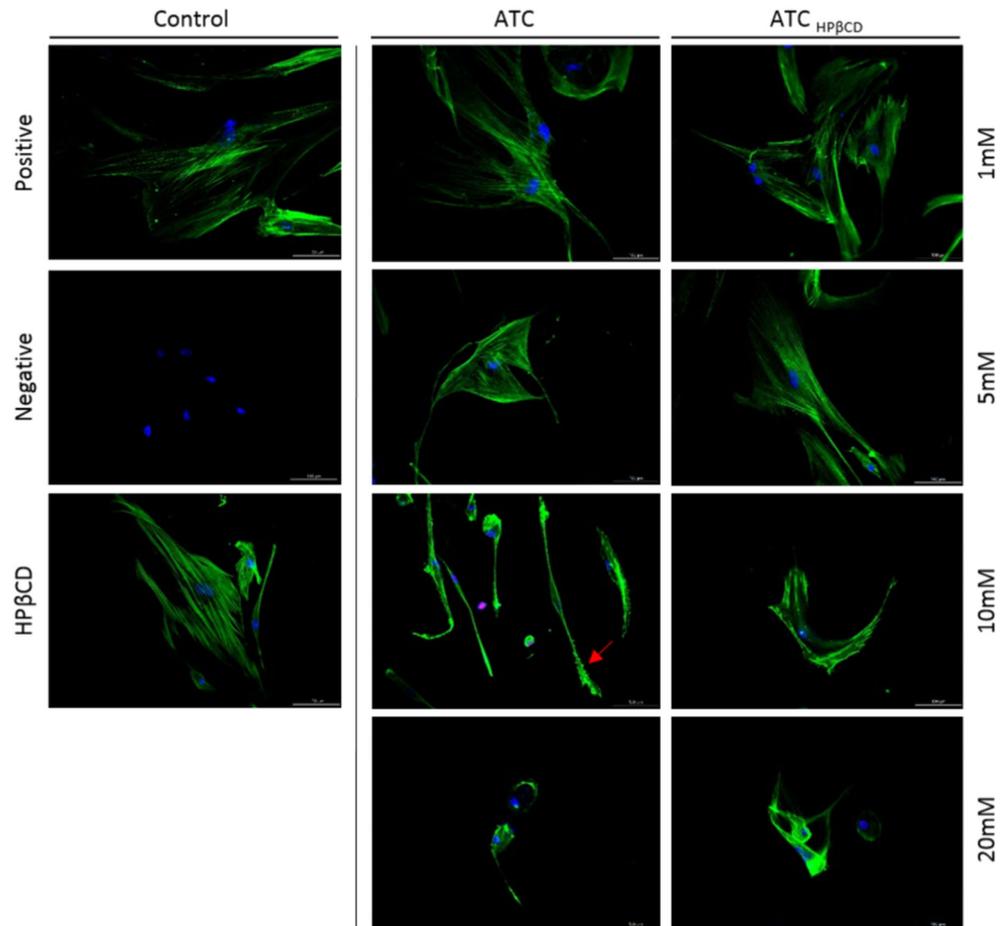
On the other hand, the use of HGF cells to assess the cytotoxicity of local anesthetics have been reported, which reveal the HGF as a suitable model for this purpose (Ferreira et al. 2016; Ferreira et al. 2017). The reduction of systemic and/or local toxicity of other local anesthetics has been reported through the inclusion complex with HP $\beta$ CD. For instance, the complexation of oxethazaine with HP $\beta$ CD reduced the cytotoxicity and improved the analgesic effect in inflamed tissues, being these notable

results considering the instability of local anesthetics on acid environment (Prado et al. 2017). Schwann cells were exposed to complexed and plain bupivacaine and ropivacaine for 24 h (Cereda et al. 2012). Although there were no significant differences on the cytotoxicity effect between these formulations, the authors did not consider the releasing time of the complex and the half-life time of the drugs for this assay. In our study, we considered a 45-min time exposure, since it is the commercial ATC half-life when administered with epinephrine 1:100000 or 1:200000 (Giannakopoulos et al. 2006). The ATC<sub>HP $\beta$ CD</sub> showed a less cytotoxic trend especially with high concentrations ( $> 5\ \text{mM}$ ) of ATC (Fig. 8), maybe because of the observed delayed release and due to the high rate of complexation that prevents direct contact of the active substance (ATC) with the cells. In fact, drug delivery

**Fig. 7** Viability of HGF cells after treatment with articaine (ATC) and ATC-2-hydroxypropyl- $\beta$ -cyclodextrin inclusion complex (ATC<sub>HP $\beta$ CD</sub>) for 45 min ( $n = 10$ , mean  $\pm$  SD). \*Statistical difference between ATC treatments and control (0 mM); #marks significant difference between 20mM ATC and 20mM ATC<sub>HP $\beta$ CD</sub>,  $p < 0.01$  ANOVA, and Tukey test (post hoc)



**Fig. 8** Effect of plain ATC and the ATC<sub>HPβCD</sub> inclusion complex on HGF cells. A loss of cytoplasm volume and apoptotic bodies (red arrow) can be seen on group treated with 10-mM and 20-mM plain ATC. For positive and negative control groups, DMEM and Triton X-100 0.1% was used, respectively. Magnification × 20, scale bar = 100 μm



systems for local anesthetics act as reservoirs causing slow release of drug, reduce plasma concentration, prolonged the duration of nerve block, and prevent cell toxicity (de Paula et al. 2010a). However, the benefit of the ATC<sub>HPβCD</sub> inclusion complex on cell viability should be further investigated in other cell types.

In conclusion, physicochemical characterization of the ATC<sub>HPβCD</sub> inclusion complex showed a 1:1 (ATC: HPβCD) steady stoichiometry complexation. Diffusion experiments demonstrated a strong and stable affinity between these molecules. The ATC<sub>HPβCD</sub> inclusion complex showed a limited cytotoxic effect in vitro. These results are important for a better understanding of the pharmacological properties of ATC<sub>HPβCD</sub> inclusion complex as a potential and novel alternative for local anesthesia in dental and medical practice, due to the lower cytotoxicity profile. Further research is needed in order to determine the anesthetic safety and effectiveness of this novel inclusion complex.

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**Author contributions** JBS, LENF, and MB contributed with the design the study, literature research, data collection, figure art, and manuscript preparation. LFC, LFF, and EP contributed with drug delivery systems preparation, conducted experiments and manuscript review. FCG and MCV contributed with the design the study, funding acquisition and manuscript review.

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### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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